

APPENDIX D - PERFORMANCE BASED INCENTIVES

This Appendix D, in combination with Section C, *Statement of Work*, constitutes the minimum performance requirements of the Contract. In addition, successful achievement of all funded work set forth in Section C and the performance-based incentives (PBI) contained in this Appendix D, constitutes satisfactory Contract performance. Table D-1 (Revision 6) is a summary of the fiscal year (FY) 2001 through 2006 PBIs, including a list of potential SSPBI areas. These PBIs were only effective during FY 2001 and FY 2002. Effective October 1, 2002, all existing PBIs prior to FY 2003 are cancelled and remaining unearned fee is reallocated in accordance with Contract Clause H.1(e). The new PBIs for FY 2003 through FY 2006 are included in this section. These include: PBI-1, Store; PBI-2, Waste Treatment Plant Production Support (Feed Delivery and Product Receipt); PBI-3, Single-Shell Tank (SST) Retrieval and Closure; and PBI-4, Supplemental Waste Treatment and Disposal.

Table D-1 (Revision 6)

Summary of FY2001 through FY2006, Effective FY2001 and FY2002 Only
(see Table D-2 for FY2003 – FY2006)
Performance Based Incentives

			(000)
<u>Number</u>	<u>Title</u>	<u>Percent of Available Fee Pool</u>	<u>Available Fee Pool</u>
ORP-01 R2	Project W-314	15.40%	
ORP-02 R1	Retrieval Systems (W-211 and W-521)	4.10%	
ORP-03	Store Immobilized High Level Waste (IHLW)	2.90%	
ORP-04	Dispose of Immobilized Low Activity Waste (ILAW)	5.50%	
ORP-05 R1	SST Interim Stabilization	8.00%	
ORP-06	Initial Waste Feed Delivery	5.70%	
ORP-07 R1	SST Retrieval - Tank C-104	9.60%	
ORP-08	Facility Stabilization	4.70%	
ORP-09 R1	Life Cycle Asset Management	6.40%	
ORP-10	DST Integrity Assessment Reports	3.40%	
ORP-11	242-A Evaporator Life Cycle Asset Management	1.30%	
ORP-12	Tank Characterization	1.80%	
ORP-13 R1	Tank Farm - Closure Support	6.40%	
ORP-14 R1	SST Retrieval - Tank S-102 (Note: includes SSPBI work, see below)	1.60%	
ORP-15 R1	Corporate Performance	14.70%	
ORP-16	WTP Interim Design and Transition	2.30%	
	Unallocated Fee (See Clause H.1)	6.20%	
	ORP-29 Performance Mgmt. Plan Implementation (FY 02 unallocated fee)		
	ORP-31 FFCA Stack Closure (partial FY 03 unallocated fee)		
	Total	100.00%	\$ 106,100
SuperStretch Performance Incentives (SSPBI)			
<u>Number</u>	<u>Title</u>		<u>Available Fee</u>
	The following SSPBIs are Negotiated and Approved:		
ORP2.1.3S R1	Advanced Preparation of 241-SY-101 for Retrieval and for Receiving and Staging		\$ 1,355
ORP3.8.2S	Transfer Waste from 241-AW-104 to Evaporator Feed Tank		\$ 760
ORP8.1.2S	Acceleration of Project W-519		\$ 400
ORP-17 R3	FY2001 Deferred Work Scope		\$ 1,072
ORP-19 R2	DST Caustic Addition		\$ 1,802
ORP-20	SST Retrieval Tank S-112		TBD
ORP-21	241-SY Primary Ventilation System Backup Exhauster		\$ 201
ORP-23 R2	Accelerate W-525 Construction of the Tank Farm Infrastructure and Compliance Upgrades		\$ 352
ORP-24 R2	Accelerate Saltcake Retrieval (U-107)		\$ 704
ORP-25	Vadose Zone Acceleration in Support of SST Farm Closure		\$ 199
ORP-26	Ready 241-AP-102 as an Available Receiver Tank		\$ 147
ORP-27	Double-Shell Tank Integrity Project High Priority Caustic Additions, Video Inspections, and Ultra Sonic Testing Inspections		\$ 167
ORP-28	Accelerated Tank Closure Demonstration		\$ 954
ORP-31	FFCA Stack Closure		\$ 275
	Total		\$ 8,388
	The following SSPBIs are Pending Final Negotiation:		
ORP-14 R1	SST Retrieval - Tank S-102		TBD
ORP-18	Accelerate W-520 Construction of the ILAW Disposal Facility		TBD
ORP-22	Accelerate W-464 Construction of IHLW Storage Facility		TBD
ORP-23 R2	Accelerate W-525 Construction of the Tank Farm Infrastructure and Compliance Upgrades		TBD
	The following is a list of Potential SSPBI Areas		
	Remove Organic Layer from C-103		
	Remove SY-103 from Watch List		
	Accelerate SST Retrieval Crawler Development		
	C-106 Closure Evaluation		
	Accelerate SST Leak Detection Upgrade		
	Enhanced Interim Stabilization of Equipment		

Table D-2 (REV. 2)

Summary of FY2001 through FY2006
 Performance Based Incentives

Number	Title	Available Fee
PBI-1	Store	\$3,000,000
PBI-2	Waste Treatment Plant Production Support (Feed Delivery and Product Receipt)	\$29,000,000
PBI-3	Single-Shell Tank (SST) Retrieval and Closure	\$26,700,000
PBI-4	Supplemental Waste Treatment and Disposal	\$13,300,000
	Subtotal	\$72,000,000
ORP-01 – ORP-16, ORP-29	FY 2001 and FY 2002 PBIs, excluding SuperStretch Performance Based Incentives (SSPBI [see Table D-1])	\$36,112,385
	Total	\$108,112,385
	Acceleration Fee	
	Description	
PBI-3	Acceleration fee of \$1,500,000 per tank retrieved and \$500,000 per tank interim closed (see PBI for description)	TBD
PBI-4	Acceleration fee of \$1,200,000 for each 100,000 gallons of additional waste treated (see PBI for description)	TBD